

RECEIVED  
CENTRAL FAX CENTER

MAR 27 2007

BEST AVAILABLE COPY

HAMRE, SCHUMANN,  
MUELLER & LARSON, P.C.  
AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION

March 27, 2007

TO:

Examiner: Unknown  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller


OUR REF: 10873.1406US01

TELEPHONE: (612) 455.3800

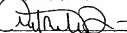
Total pages, including cover letter: 34

PTO FAX NUMBER: 571.273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Document: Supplemental Information Disclosure Statement, Form 1449,  
2 references, Japanese Office ActionApplicant: KOMMA  
Serial No.: 10/789,309  
App. Filed: February 26, 2004  
Group Art No.: 2655  
Conf. No.: 9210Please charge any additional fees or credit overpayment to Deposit Account No.  
50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a  
sufficient number of months to enter these papers, if appropriate.By:   
Name: Douglas P. Mueller  
Reg. No. 30,300I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark  
Office on the date shown below.

Antoinette C. Peters

  
SignatureMar 27 2007  
Date225 SOUTH SIXTH STREET • SUITE 2650 • MINNEAPOLIS • MN 55402  
TEL 612.455.3800 FAX 612.455.3801  
WWW.HSMIL.COM • MAIL@HSMIL.COM

**BEST AVAILABLE COPY****RECEIVED  
CENTRAL FAX CENTER****MAR 27 2007**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KOMMA  
Serial No.: 10/789,309  
Filed: February 26, 2004  
Title: OPTICAL HEAD DEVICE AND OPTICAL INFORMATION DEVICE  
USING THE SAME, COMPUTER, OPTICAL DISK PLAYER, CAR  
NAVIGATION SYSTEM, OPTICAL DISK RECORDER, AND  
OPTICAL DISK SERVER

Examiner: Unknown  
Group Art Unit: 2655  
Docket: 10873.1406US01

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on March 27, 2007.

By:   
Name: Antonette Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. A Copy of the Japanese Office Action, mailed February 27, 2007, from the co-pending Japanese application, is transmitted herewith for the examiner's reference.

Please note that the Japanese publication JP2001-209966 and JP2000-260056 were previously cited and that the corresponding English publication was previously cited for JP2000-163787, therefore these references are not cited herein.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or

**BEST AVAILABLE COPY**

- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

**STATEMENT**

Applicants hereby state that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☐ If this box is checked, Applicant provides the following:

**Certification Under 37 C.F.R. §1.704(d)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.

Filing Date

Group

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initiated by the Examiner, to the undersigned with the next official communication.

**BEST AVAILABLE COPY**RECEIVED  
CENTRAL FAX CENTER

MAR 27 2007

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

**53148**

PATENT TRADEMARK OFFICE

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.

Post Office Box 2902

Minneapolis, MN 55402-0902

(612) 455-3800

Dated: March 27, 2007By: 

Douglas P. Mueller

Reg. No. 30,300

DPM:acp

